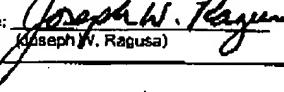


I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: January 12, 2007

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Docket No.: E3331.0525
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Peter R. Horsfall

Application No.: 09/942,426

Confirmation No.: 7416

Filed: August 29, 2001

Art Unit: 3624

For: ELECTRONIC TRADING SYSTEM

Examiner: Olabode Akintola

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated September 12, 2006, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

01/16/2007 TL0111 00000004 09942426

02 FC:1201	400.00	OP
03 FC:1202	150.00	OP

DOCSNY-229666v01